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PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Commissioner of Patents, Washington, DC 20231.

November 27, 2002 Stephanie Jansen  
Date

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant	: Nathan R. Brown	Attorney Docket No.:	500200.04
Serial No.	: 09/909,580	Group Art Unit	: 3729
Filed	: July 20, 2001	Examiner	: Not yet assigned
Title	: METHOD AND APPARATUS FOR CHEMICAL-MECHANICAL PLANARIZATION OF MICROELECTRONIC SUBSTRATES WITH A CARRIER AND MEMBRANE		

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner of Patents  
Washington, D.C. 20231

Sir:

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TECHNOLOGY CENTER R3700

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicant wishes to make known to the Patent and Trademark Office the reference set forth on the attached form PTO-1449. This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior Application No. 09/366,406, filed August 3, 1999. The reference listed on the attached Form PTO-1449 was submitted to and/or cited by the Patent and Trademark Office in this prior application and, therefore, is not required to be provided in this application. If the Examiner wishes, a copy will be provided upon request. Although the aforesaid reference is made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information he is aware of which is believed relevant to the examination of the above-identified application, applicant believes that his invention is patentable.

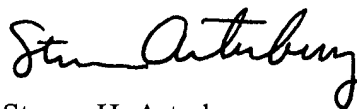
I hereby certify that no item set forth on the attached form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge, after making reasonable inquiry, was known to any individual designated in

37 C.F.R. § 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement.

Please acknowledge receipt of this Supplemental Information Disclosure Statement and kindly make the cited reference of record in the above-identified application.

Respectfully submitted,

DORSEY & WHITNEY LLP



Steven H. Arterberry  
Registration No. 46,314

SHA:sj

Enclosures:

Postcard  
Fee Transmittal Sheet (+ copy)  
Form PTO-1449

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# **FEE TRANSMITTAL SHEET (FOR FY 2002)**

**Complete if Known**

Application No. 09/909,580  
 Filing Date July 20, 2001  
 First Named Inventor Nathan R. Brown  
 Group Art Unit 3729  
 Examiner Not yet assigned  
 Atty. Docket Number 500200.04

**METHOD OF PAYMENT (Check One)**

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2. ☐ Check Enclosed

**FEE CALCULATION**

**1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
101	740	201	370	<input type="checkbox"/> Utility Filing Fee
106	330	206	165	<input type="checkbox"/> Design Filing Fee
108	740	208	370	<input type="checkbox"/> Reissue Filing Fee
114	160	214	80	<input type="checkbox"/> Provisional Filing Fee

**Subtotal (1) \$ 0**

**2. EXTRA CLAIM FEES**

Current Claims	Prior	Extra	Fee	Fee Paid
Total 15	-	20	= 0 x \$ 18 =	\$ 0
Ind. 2	-	3	= 0 x \$ 84 =	\$ 0
Multiple Dependent Claims				\$

**Subtotal (2) \$ 0**

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent Claim
109	84	209	42	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

**FEE CALCULATION (Continued)**

**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - Late filing fee or oath	\$
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$
139	130	139	130	Non-English specification	\$
147	2,520	147	2,520	For Filing a Request for Reexamination	\$
195	300	196	300	Publication (early or Republication)	\$
115	110	215	55	Extension for reply within first month	\$
116	400	216	200	Extension for reply within 2 <sup>nd</sup> month	\$
117	920	217	460	Extension for reply within 3 <sup>rd</sup> month	\$
118	1,440	218	720	Extension for reply within 4 <sup>th</sup> month	\$
128	1,960	280	980	Extension for reply within 5 <sup>th</sup> month	\$
120	320	220	160	Filing a brief in support of an appeal	\$
121	280	270	140	Request for oral hearing	\$
148	110	248	55	Terminal Disclaimer Fee	\$
140	110	240	55	Petition to revive - unavoidable	\$
141	1,280	241	640	Petition to revive - unintentional	\$
142	1,280	242	640	Utility/Reissue issue fee (+ advance copies)	\$
143	460	243	230	Design issue fee (+ advance copies)	\$
122	130	122	130	Petitions to the Commissioner	\$
123	50	123	50	Petitions related to provisional applications	\$
126	180	126	180	Submission of IDS	\$
581	40	81	40	Recording each patent assignment per property (times number of properties)	\$
179	740	279	370	Request for Continued Examination (RCE)	\$

Other fee (specify)

**Subtotal (3) \$ 0**

**Total Amount of Payment: \$ 0**

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Submitted by:

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Signature: *Steven Arterberry*

Date: 11/27/02